

Electronic Acknowledgement Receipt

EFS ID:	8763541
Application Number:	09685398
International Application Number:	
Confirmation Number:	7911
Title of Invention:	SYSTEM AND METHOD FOR AUTOMATICALLY REBALANCING PORTFOLIOS BY SINGLE RESPONSE
First Named Inventor/Applicant Name:	James Richard Kraemer
Customer Number:	47121
Filer:	John Robert Pivnichny/Mary Worden
Filer Authorized By:	John Robert Pivnichny
Attorney Docket Number:	RSW9-2000-0107-US1
Receipt Date:	03-NOV-2010
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Application Type:	Utility under 35 USC 111(a)

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Payment was successfully received in RAM	\$180
RAM confirmation Number	3611
Deposit Account	090457
Authorized User	

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Filed (SB/08)	RSW920000107US1US1_RelatedIDS_11032010.pdf	613929 287794310ef1e035154166ae616d1a991ab15e	no	8

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	RSW920000107US1_IDS_State ment_11032010.pdf	11293 ad1d1d194c2d16d4efef62203fec5c1bd151 8f5ee	no	1
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Warnings:

Information:

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3	NPL Documents	RSW920000107US1_Maurer_N PL.pdf	62272 7101bd1056c4802ee7066f0ebbbdc9179 811eb	no	2
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Warnings:

Information:

4	NPL Documents	RSW920000107US1US1_Efficie nt_Frontier_NPL.pdf	4467259 d3ad0719cab3bcb1ae0c155e174cd9f94d3 05e6a0	no	5
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Warnings:

Information:

5	NPL Documents	RSW920000107US1US1_Moder n_Portfolio_Theory_NPL.pdf	3745959 6651ce11e0de1b9a61490cd11d1efc18c66 7b59b	no	4
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Warnings:

Information:

6	NPL Documents	RSW920000107US1_Levitt_050 41999_NPL.pdf	95565 a1fb657d74ecb372454ec633357748694ff 6cb49	no	5
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Warnings:

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7	NPL Documents	RSW920000107US1_Levitt_012 71999_NPL.pdf	22405 32284045e6e7c529030011d9b945a9d66 7935	no	2
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Warnings:

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8	NPL Documents	Application_No_09460608_Off ceAction_10042002.pdf	378924 dc291f16eef1992355009fb669fb1545x980 a007	no	9
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9	NPL Documents	Application_No_09460608_FinalOfficeAction_04082003.pdf	309765 22ca000a493111adfa39a664004d81eefc6803cb	no	8	
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10	NPL Documents	Application_No_09460608_OfficeAction_04052004.pdf	312436 b101aa1d6579c234befefb67eb714e956ce9	no	8	
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11	NPL Documents	Application_No_09460608_FinalOfficeAction_11052004.pdf	269272 b1016fa50543a9f0a223d2c8c28315404430c9b460	no	7	
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12	NPL Documents	Application_No_09460608_OfficeAction_03232006.pdf	232525 9181852015c1ea0979403e446eb315570a4cfe5	no	6	
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13	NPL Documents	Application_No_09460608_FinalOfficeAction_09222006.pdf	240811 fa2041fbfae010123026ab64eb1eb244c11a0f	no	6	
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14	NPL Documents	Application_No_09460608_OfficeAction_11192008.pdf	257821 ef19d677ea1a1b0a7a78760083ccce46e6a55895e	no	7	
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15	NPL Documents	Application_No_09460608_NoticeOfAbandonment_062409.pdf	86381 0fa18fc31bc31c0ba51c7f12290c135573042c	no	2	
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16	NPL Documents	Application_No_09685838_NoFinal_Rejection_02122004.pdf	413798 a5a71fb5fbab8f005a24d4b34d197ae5a342d	no	11	
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17	NPL Documents	Application_No_09685838_NoFinal_Rejection_02102005.pdf	700456 315633cc0120532093011c0cafa0708a438c4	no	14	

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18	NPL Documents	Application_No_09685838_Final_Rejection_07052005.pdf	441850 08562a336d29d4f924180000108970274a05e	no	9	
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19	NPL Documents	Application_No_09685838_Nofinal_Rejection_10232006.pdf	506452 2740e351b6b5551420c3c7b06e805f5a586099f	no	10	
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20	NPL Documents	Application_No_09685838_Final_Rejection_05022007.pdf	577790 5332bea11321ed4d72c1db488ef342fb1744fa8d	no	11	
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21	NPL Documents	Application_No_09685838_Nofinal_Rejection_10172007.pdf	527683 d1fb484428667395b5b9520e42b8ef95ee7e7806	no	11	
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22	NPL Documents	Application_No_09685838_NOA_05052008.pdf	537586 a599750762d0f5e2dd532055fb8e49563c3868	no	10	
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23	Fee Worksheet (PTO-875)	fee-info.pdf	30648 0674f95c26f90c4bf4fa20c7d7bd826f55d	no	2	
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Total Files Size (in bytes):					14842880	

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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